

#4

60709-00026
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Helmbrecht et al.

Serial No.: 10/071,474

Filed: February 6, 2002

For: METHODS AND SYSTEMS
FOR MANAGING BUSINESS
INFORMATION ON A WEB
SITE

:
: Art Unit: 2175
:
: Examiner:
:
:

RECEIVED
MAY 08 2002
Technology Center 2100

REQUEST FOR APPROVAL OF DRAWING CHANGES

Hon. Commissioner for Patents
Washington, D.C. 20231

Submitted herewith is a copy of Figures 2 and 8 in the above referenced patent application showing proposed drawing changes. Specifically, Figure 2 has been amended to correctly label the local area network (LAN) with the reference numeral 43. Figure 8 has been amended to correctly label the Update button with the reference numeral 464. The amendments to Figures 2 and 8 are submitted to correct typographical errors in the above referenced patent application, therefore no new matter has been entered. In addition, Figure 35 has been divided into Figures 35A and 35B on separate drawing sheets, Figure 36 has been divided into Figures 36A and 36B on separate drawing sheets, and Figure 37 has been divided into Figures 37A and 37B on separate drawing sheets. No new matter has been entered. Applicants respectfully request the approval of the indicated drawing changes. In anticipation of approval, formal copies of Figures 1-37 are also enclosed.

Respectfully Submitted,

Daniel M. Fitzgerald
Registration No. 38,880
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102-2740
(314) 621-5070

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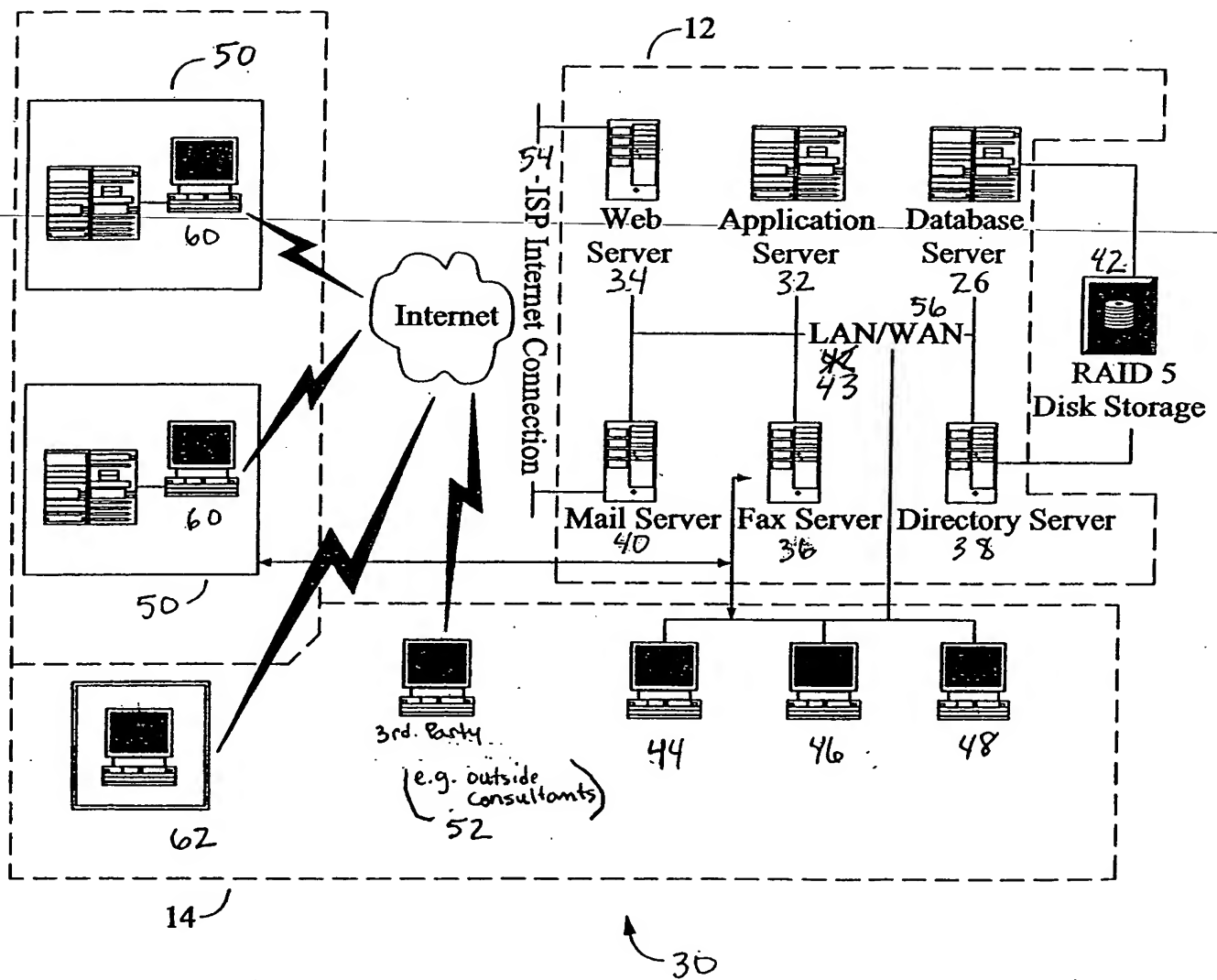


FIG. 2

<input type="button" value="Update"/> <input type="button" value="Select Operation"/>		<input type="button" value="Jr. Secured Asset-Based Financing (J-CAP)"/>	
Long Description The Junior Secured Asset Based product allows companies possessing superior collateral characteristics to access incremental amounts against traditional borrowing base assets as well as receive availability against		Long Description Junior Secured Asset Based Facilities are to be asset secured in nature. A second lien position on assets of the borrower subordinated to senior debt or a first lien on boot collateral where available is required.	
Deal Size (\$) Minimum: 25000000 Maximum: 50000000	Deal Size (\$) Minimum: 5000000 Maximum: 25000000	Collateral Business Minimum: 3 Maximum: 1	Collateral Business Minimum: 3 Maximum: 1
Salvage Attribute Minimum: 25000000 Maximum: 50000000	Salvage Attribute Minimum: 5000000 Maximum: 25000000	<input type="checkbox"/> Not Required <input type="checkbox"/> Not Required	<input type="checkbox"/> Not Required <input checked="" type="checkbox"/> Not Required
Types of Financing Types of Financing: <u>462</u> / <u>460</u> / <u>461</u> / <u>462</u> / <u>463</u> / <u>464</u> / <u>465</u> / <u>466</u> / <u>467</u> / <u>468</u> / <u>469</u> / <u>470</u> / <u>471</u> / <u>472</u> / <u>473</u> / <u>474</u> / <u>475</u> / <u>476</u> / <u>477</u> / <u>478</u> / <u>479</u> / <u>480</u> / <u>481</u> / <u>482</u> / <u>483</u> / <u>484</u> / <u>485</u> / <u>486</u> / <u>487</u> / <u>488</u> / <u>489</u> / <u>490</u> / <u>491</u> / <u>492</u> / <u>493</u> / <u>494</u> / <u>495</u> / <u>496</u> / <u>497</u> / <u>498</u> / <u>499</u> / <u>500</u> / <u>501</u> / <u>502</u> / <u>503</u> / <u>504</u> / <u>505</u> / <u>506</u> / <u>507</u> / <u>508</u> / <u>509</u> / <u>510</u> / <u>511</u> / <u>512</u> / <u>513</u> / <u>514</u> / <u>515</u> / <u>516</u> / <u>517</u> / <u>518</u> / <u>519</u> / <u>520</u> / <u>521</u> / <u>522</u> / <u>523</u> / <u>524</u> / <u>525</u> / <u>526</u> / <u>527</u> / <u>528</u> / <u>529</u> / <u>530</u> / <u>531</u> / <u>532</u> / <u>533</u> / <u>534</u> / <u>535</u> / <u>536</u> / <u>537</u> / <u>538</u> / <u>539</u> / <u>540</u> / <u>541</u> / <u>542</u> / <u>543</u> / <u>544</u> / <u>545</u> / <u>546</u> / <u>547</u> / <u>548</u> / <u>549</u> / <u>550</u> / <u>551</u> / <u>552</u> / <u>553</u> / <u>554</u> / <u>555</u> / <u>556</u> / <u>557</u> / <u>558</u> / <u>559</u> / <u>560</u> / <u>561</u> / <u>562</u> / <u>563</u> / <u>564</u> / <u>565</u> / <u>566</u> / <u>567</u> / <u>568</u> / <u>569</u> / <u>570</u> / <u>571</u> / <u>572</u> / <u>573</u> / <u>574</u> / <u>575</u> / <u>576</u> / <u>577</u> / <u>578</u> / <u>579</u> / <u>580</u> / <u>581</u> / <u>582</u> / <u>583</u> / <u>584</u> / <u>585</u> / <u>586</u> / <u>587</u> / <u>588</u> / <u>589</u> / <u>590</u> / <u>591</u> / <u>592</u> / <u>593</u> / <u>594</u> / <u>595</u> / <u>596</u> / <u>597</u> / <u>598</u> / <u>599</u> / <u>600</u> / <u>601</u> / <u>602</u> / <u>603</u> / <u>604</u> / <u>605</u> / <u>606</u> / <u>607</u> / <u>608</u> / <u>609</u> / <u>610</u> / <u>611</u> / <u>612</u> / <u>613</u> / <u>614</u> / <u>615</u> / <u>616</u> / <u>617</u> / <u>618</u> / <u>619</u> / <u>620</u> / <u>621</u> / <u>622</u> / <u>623</u> / <u>624</u> / <u>625</u> / <u>626</u> / <u>627</u> / <u>628</u> / <u>629</u> / <u>630</u> / <u>631</u> / <u>632</u> / <u>633</u> / <u>634</u> / <u>635</u> / <u>636</u> / <u>637</u> / <u>638</u> / <u>639</u> / <u>640</u> / <u>641</u> / <u>642</u> / <u>643</u> / <u>644</u> / <u>645</u> / <u>646</u> / <u>647</u> / <u>648</u> / <u>649</u> / <u>650</u> / <u>651</u> / <u>652</u> / <u>653</u> / <u>654</u> / <u>655</u> / <u>656</u> / <u>657</u> / <u>658</u> / <u>659</u> / <u>660</u> / <u>661</u> / <u>662</u> / <u>663</u> / <u>664</u> / <u>665</u> / <u>666</u> / <u>667</u> / <u>668</u> / <u>669</u> / <u>670</u> / <u>671</u> / <u>672</u> / <u>673</u> / <u>674</u> / <u>675</u> / <u>676</u> / <u>677</u> / <u>678</u> / <u>679</u> / <u>680</u> / <u>681</u> / <u>682</u> / <u>683</u> / <u>684</u> / <u>685</u> / <u>686</u> / <u>687</u> / <u>688</u> / <u>689</u> / <u>690</u> / <u>691</u> / <u>692</u> / <u>693</u> / <u>694</u> / <u>695</u> / <u>696</u> / <u>697</u> / <u>698</u> / <u>699</u> / <u>700</u> / <u>701</u> / <u>702</u> / <u>703</u> / <u>704</u> / <u>705</u> / <u>706</u> / <u>707</u> / <u>708</u> / <u>709</u> / <u>710</u> / <u>711</u> / <u>712</u> / <u>713</u> / <u>714</u> / <u>715</u> / <u>716</u> / <u>717</u> / <u>718</u> / <u>719</u> / <u>720</u> / <u>721</u> / <u>722</u> / <u>723</u> / <u>724</u> / <u>725</u> / <u>726</u> / <u>727</u> / <u>728</u> / <u>729</u> / <u>730</u> / <u>731</u> / <u>732</u> / <u>733</u> / <u>734</u> / <u>735</u> / <u>736</u> / <u>737</u> / <u>738</u> / <u>739</u> / <u>740</u> / <u>741</u> / <u>742</u> / <u>743</u> / <u>744</u> / <u>745</u> / <u>746</u> / <u>747</u> / <u>748</u> / <u>749</u> / <u>750</u> / <u>751</u> / <u>752</u> / <u>753</u> / <u>754</u> / <u>755</u> / <u>756</u> / <u>757</u> / <u>758</u> / <u>759</u> / <u>760</u> / <u>761</u> / <u>762</u> / <u>763</u> / <u>764</u> / <u>765</u> / <u>766</u> / <u>767</u> / <u>768</u> / <u>769</u> / <u>770</u> / <u>771</u> / <u>772</u> / <u>773</u> / <u>774</u> / <u>775</u> / <u>776</u> / <u>777</u> / <u>778</u> / <u>779</u> / <u>780</u> / <u>781</u> / <u>782</u> / <u>783</u> / <u>784</u> / <u>785</u> / <u>786</u> / <u>787</u> / <u>788</u> / <u>789</u> / <u>790</u> / <u>791</u> / <u>792</u> / <u>793</u> / <u>794</u> / <u>795</u> / <u>796</u> / <u>797</u> / <u>798</u> / <u>799</u> / <u>800</u> / <u>801</u> / <u>802</u> / <u>803</u> / <u>804</u> / <u>805</u> / <u>806</u> / <u>807</u> / <u>808</u> / <u>809</u> / <u>810</u> / <u>81</u>			

FIG. 8

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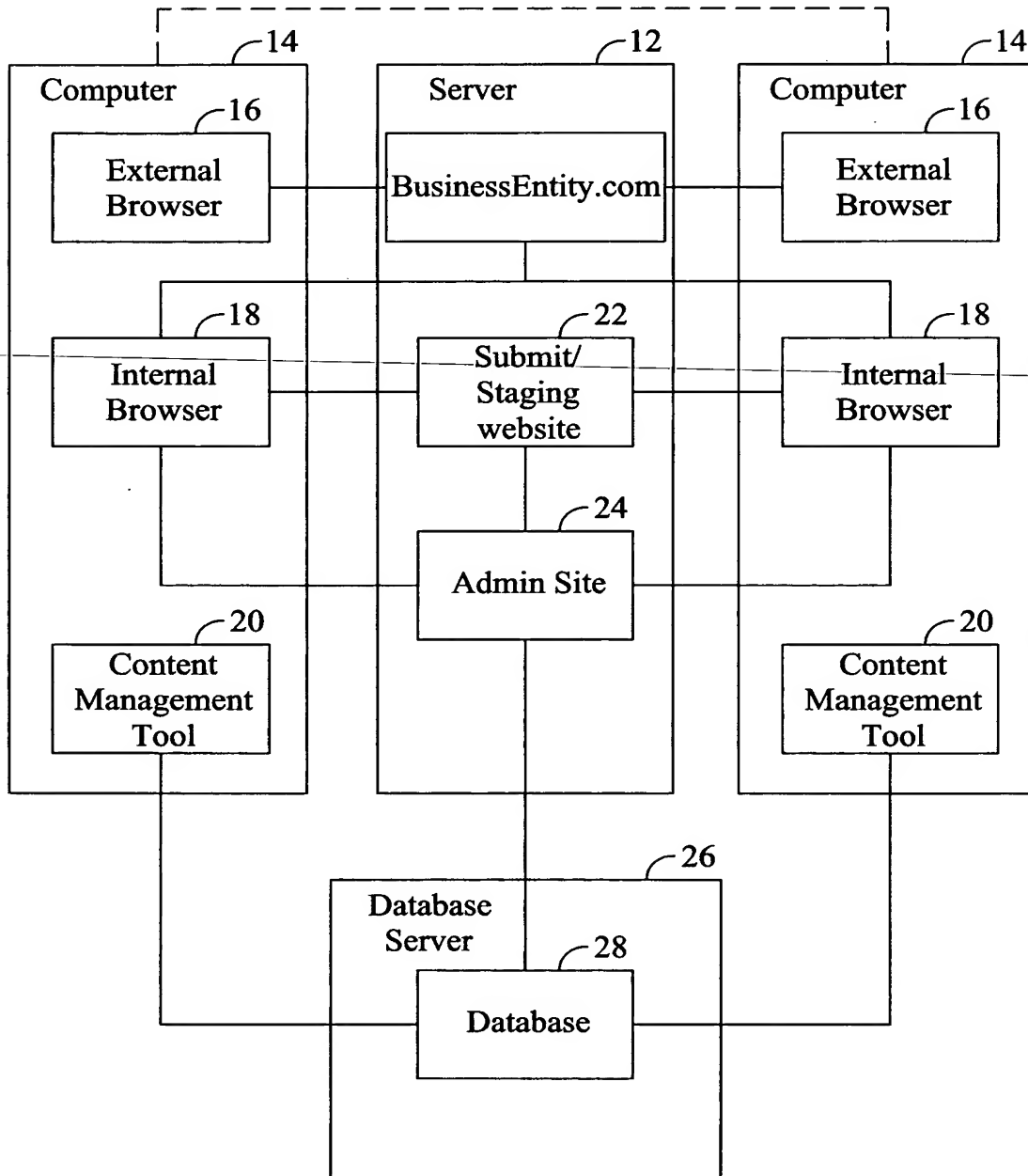


FIG. 1

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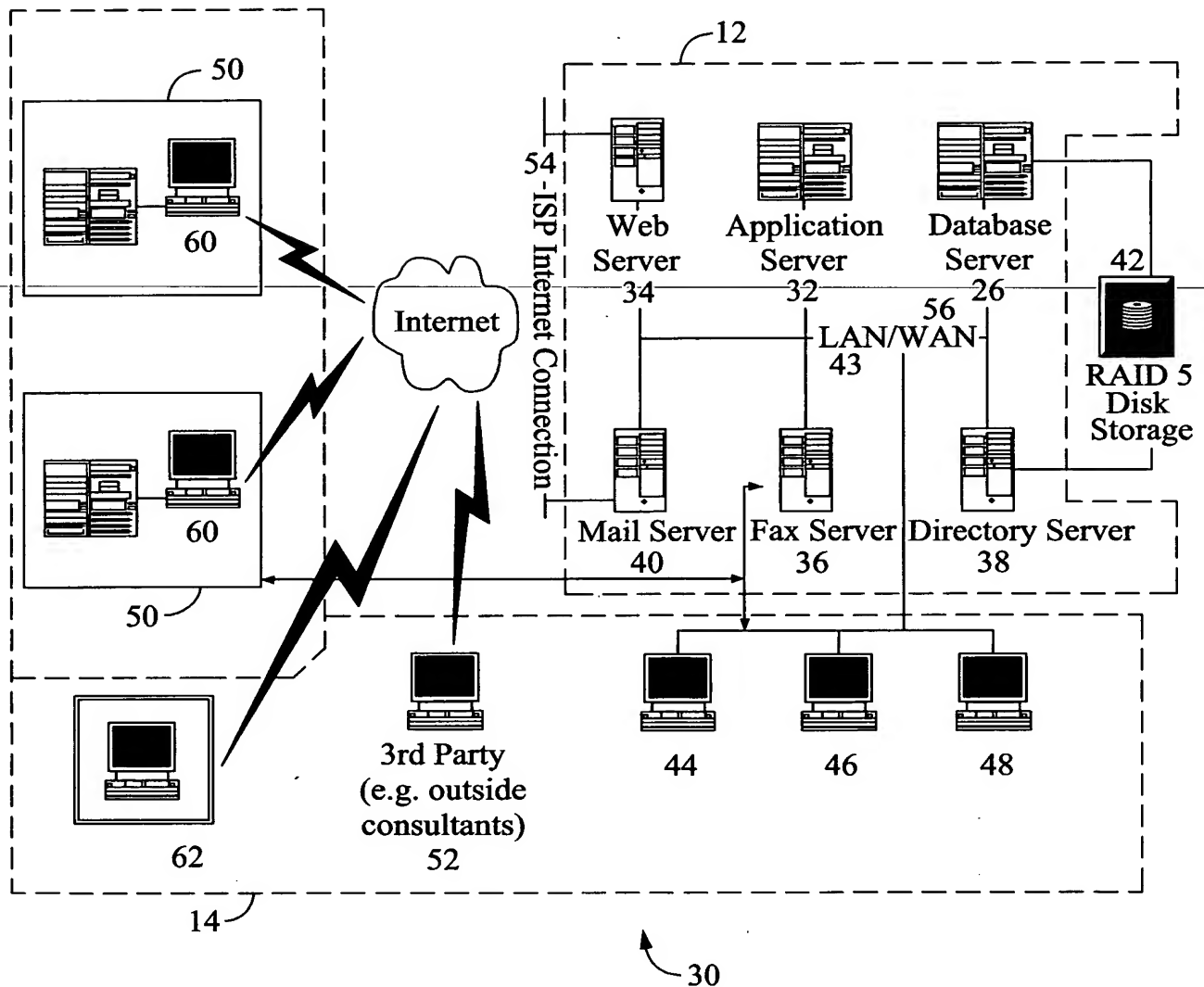


FIG. 2

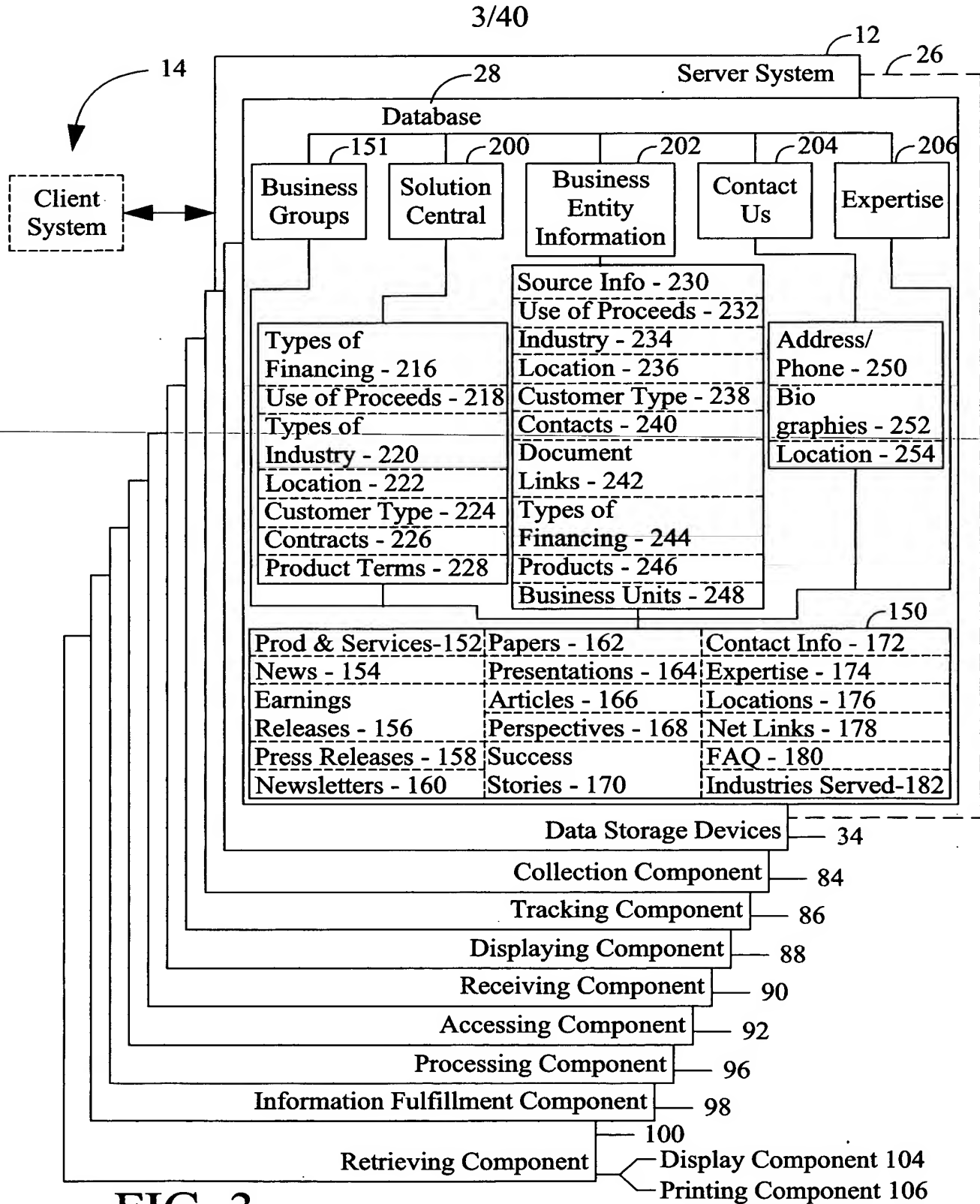


FIG. 3

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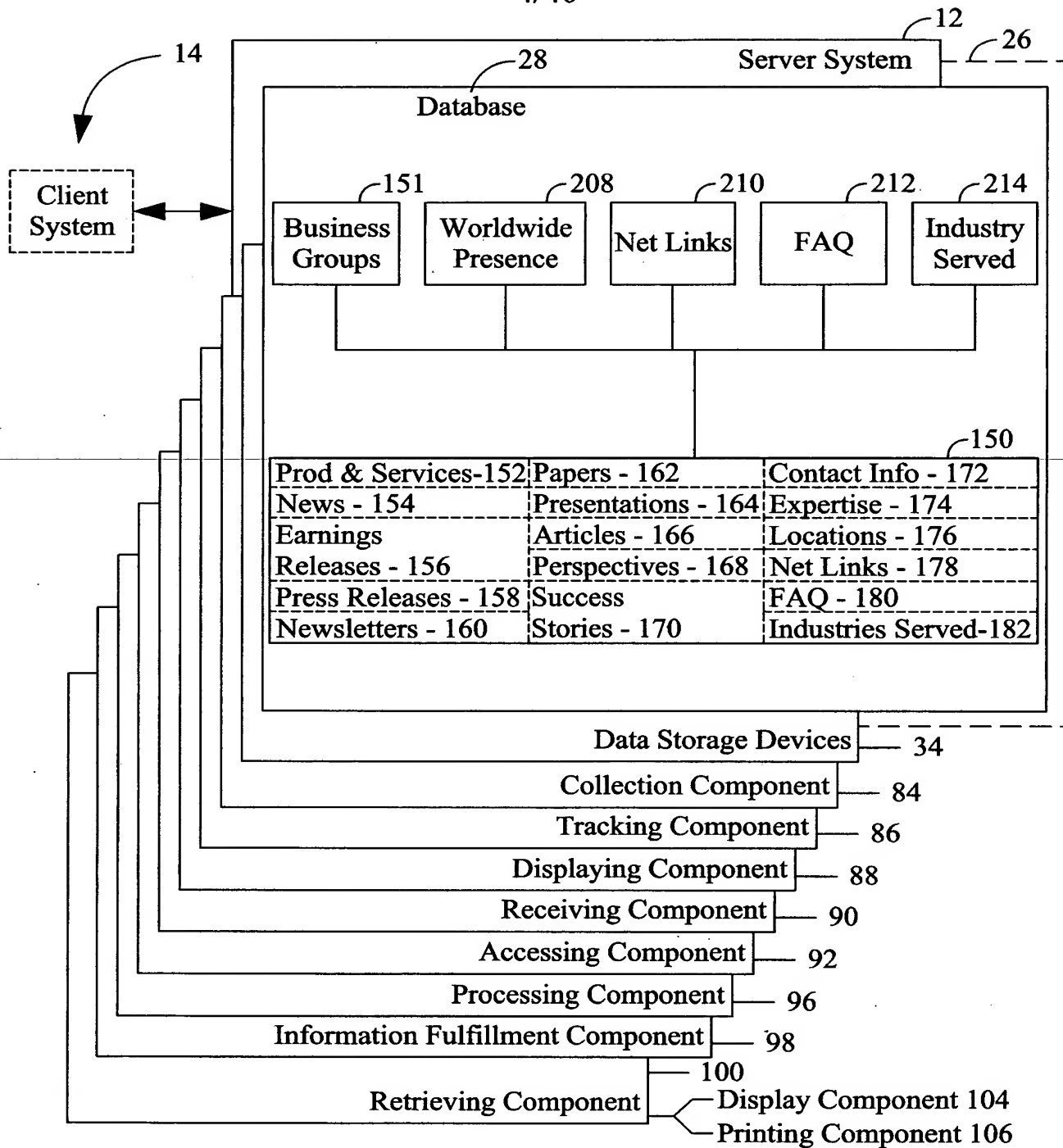


FIG. 4

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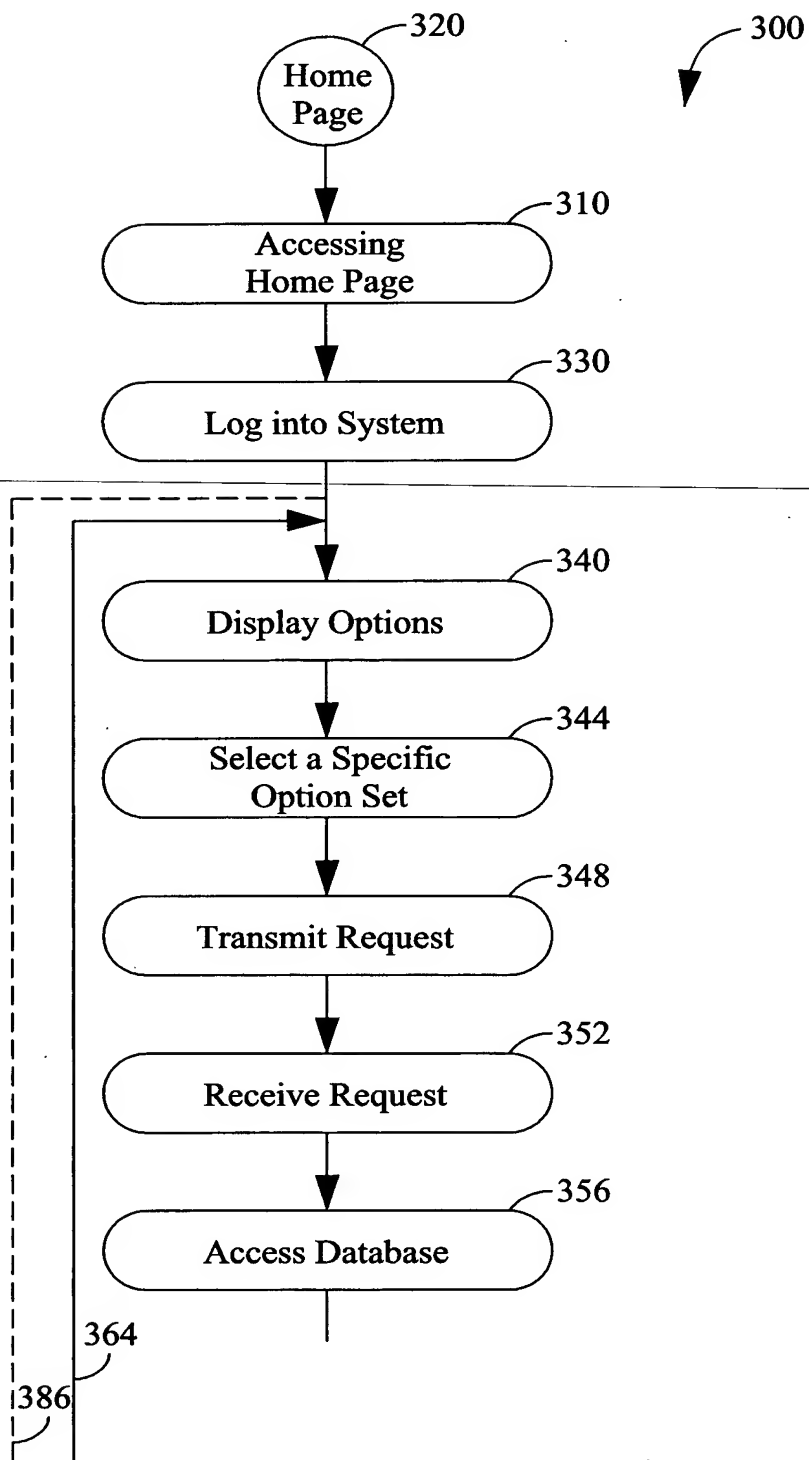


FIG. 5

↓ FIG. 6

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↑ FIG. 5

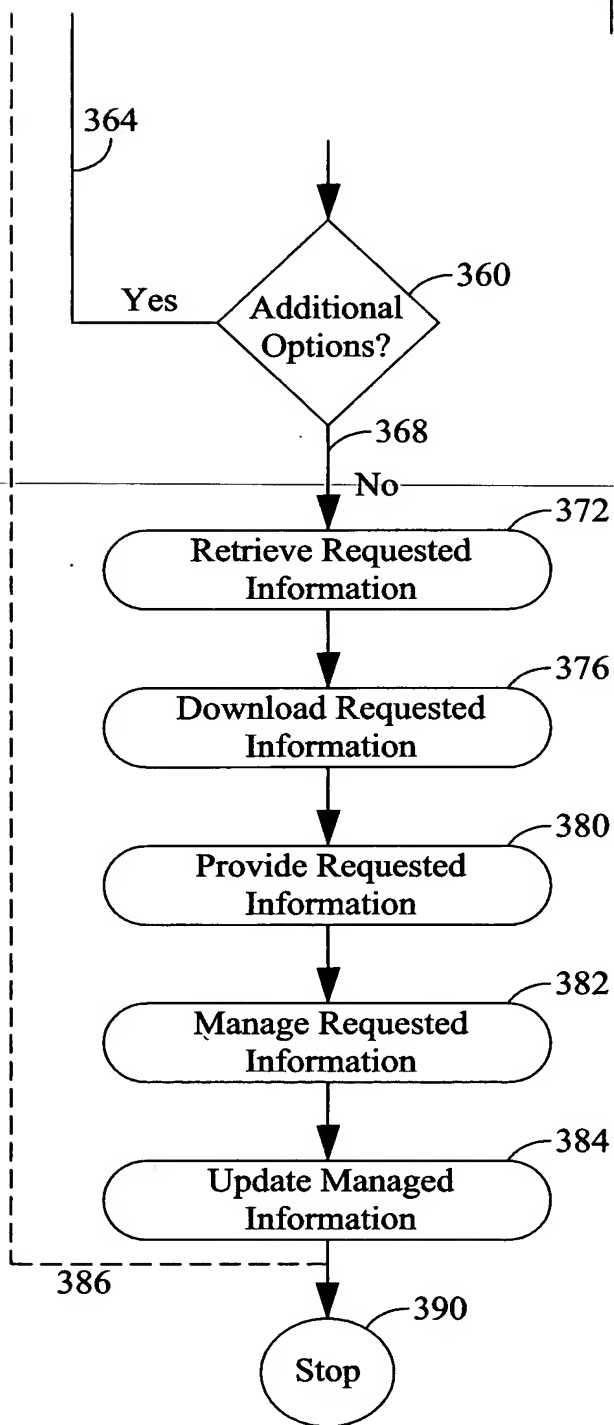


FIG. 6

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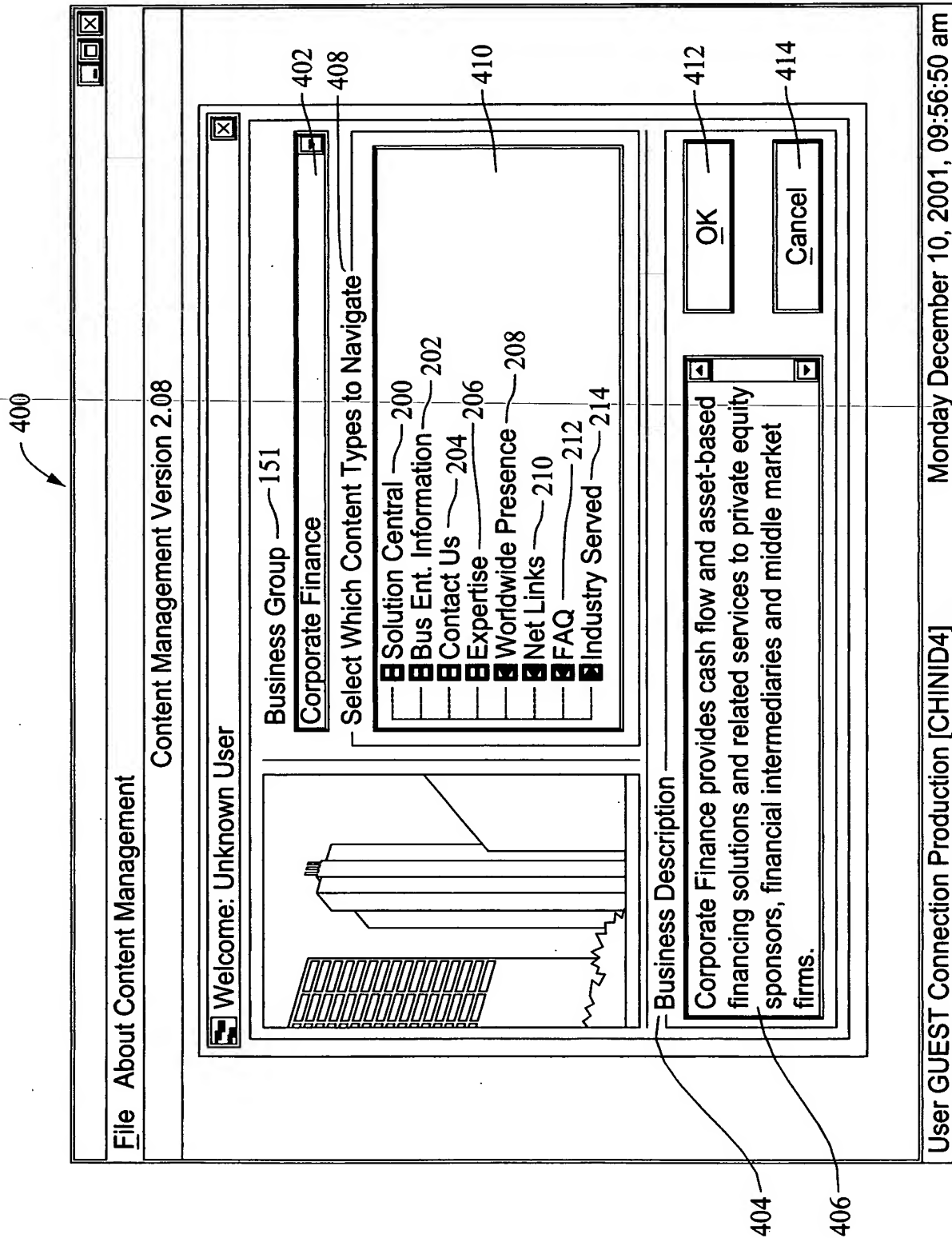


FIG. 8

FIG. 8

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480

440

Select Attribute

Types of Financing | Use of Proceeds | Types of Industry | Location | Cust Type | Contacts | Product Terms

Use of Proceeds

Use of Proceeds

482

Fund Investing
Invoice Discounting
Leveraged Buildup/Buyout
M and A/Financial Advisory
Project Finance
Real Estate
Real Estate Acquisitions
Reverse Factoring/Supply Finance
Trader Finance
Vendor Leasing Financing
Verifiable Turnaround

488

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486

Selected Use of Proceeds

484

Business Acquisition
Growth Financing
Recapitalization
Refinancing

FIG. 9

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Select Attribute

Types of Financing | Use of Proceeds | Types of Industry | Location | Cust Type | Contacts | Product Terms

Types of Industry

Types of Industry

Contact Research Organization
Convenience Store/Retail Petroleum
Day Care
Dentistry
Entertainment
Grocery
Hotel/Motel
Marine
Mining
Paper
Rail

> < >> <<

Selected Types of Industry

Automotive
Chemicals
Energy
Food Processing
Franchise
Graphic Arts/Printing
Healthcare
Manufacturing
Media
Restaurant
Retail

FIG. 10

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520

444

Select Attribute

Types of Financing | Use of Proceeds | Types of Industry | Location | Cust Type | Contacts | Product Terms

Location

Location

522

N/A - Chile
N/A - Czech Republic
N/A - Denmark
N/A - France
N/A - Germany
N/A - Hong Kong
N/A - Ireland
N/A - Italy
N/A - Mexico
N/A - Netherlands
N/A - Norway

528

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526

Canada
United States

Selected Locations

524

FIG. 11

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540

446

Select Attribute

Types of Financing | Use of Proceeds | Types of Industry | Location | Cust Type | Contacts | Product Terms

Customer Type

Customer Types

548

Capital Markets Investor
Manufacturer or Reseller
Real Estate Developer
Real Estate Entrepreneurs
Venture Capitalist

546

Selected Customer Types

CFO or Executive
Equity Sponsor
Intermediary or Broker

Short Description

550

Long Description

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FIG. 12

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Select Attribute

Types of Financing | Use of Proceeds | Types of Industry | Location | Cust Type | Contacts | Product Terms

Contacts

Public

Primary

Cross Link

Alternate

Selected Contacts

Nancy N.
Sandra V.

> < >> <<

Elizabeth P.
Albert M. R.
Bruce R.
Dennis R.
Beth S.
Randall S.
Stephen B. S.
Michael S. S.
Carl T.
Patty W.
Todd A. W.

FIG. 13

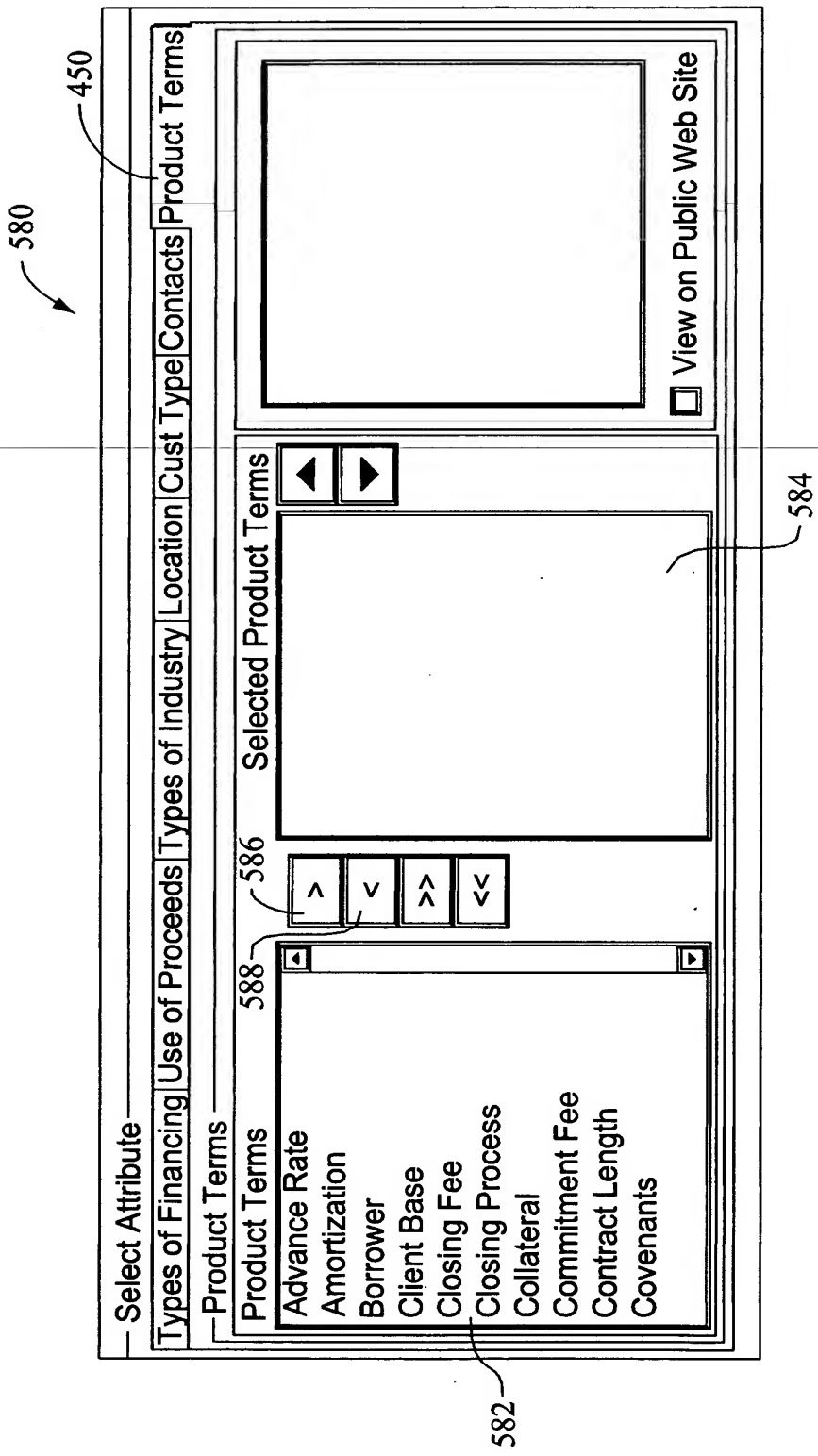


FIG. 14

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File

Content Management Version 2.08

Select Operation:

Title: Hel Financial Adds Merger and Acquisition Se

Required Entries

Optional Entries

Info Date: 4/26/2001

Date format: mm/dd/yy

Effective Date:

Expiration Date:

Info Summary

Led by industry veterans, Financial Advisors to serve middle-market companies

Info Text

(Chicago, IL, April 26, 2001) - Hel Financial, Inc., a leading provider of

Select Attribute

Types of Financing Products Business Units

Source Info

Use of Proceeds Industry Location Customer Type Contacts Document Links

Source Info

Title: No Title Available

Company: No Company Available

External Link: none

Name: No Author Name Available

Source: No Source Available

User GUEST Connection Production [CHINID4] Monday December 10, 2001, 09:56:50 am

FIG. 15

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640

Select Attribute	Types of Financing	Products	Business Units	Source Info	Use of Proceeds	Industry	Location	Customer Type	Contacts	Document Links
Source Info										
Title:										
No Title Available										
Company:										
No Company Available										
External Link:										
none										
Name:										
No Author Name Available										
Source:										
No Source Available										

612

FIG. 16

Daniel M. Fitzgerald, Armstrong Teasdale LLP
One Metropolitan Square, St. Louis, MO 63102 (314) 621-5070

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660

614

Select Attribute

Types of Financing | Products | Business Units

Source Info | Use of Proceeds | Industry | Location | Customer Type | Contacts | Document Links

Use of Proceeds

Use of Proceeds....

662

Business Acquisition
Construction/Renovation
Customer Credit Risk Cover
Debtor-in-Possession
Equipment Financing
Equipment Leasing
Equity Co-Investment
Factoring
Fund Investing
Growth Financing
Invoice Discounting

668

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666

...Selected Use of Proceeds

664

FIG. 17

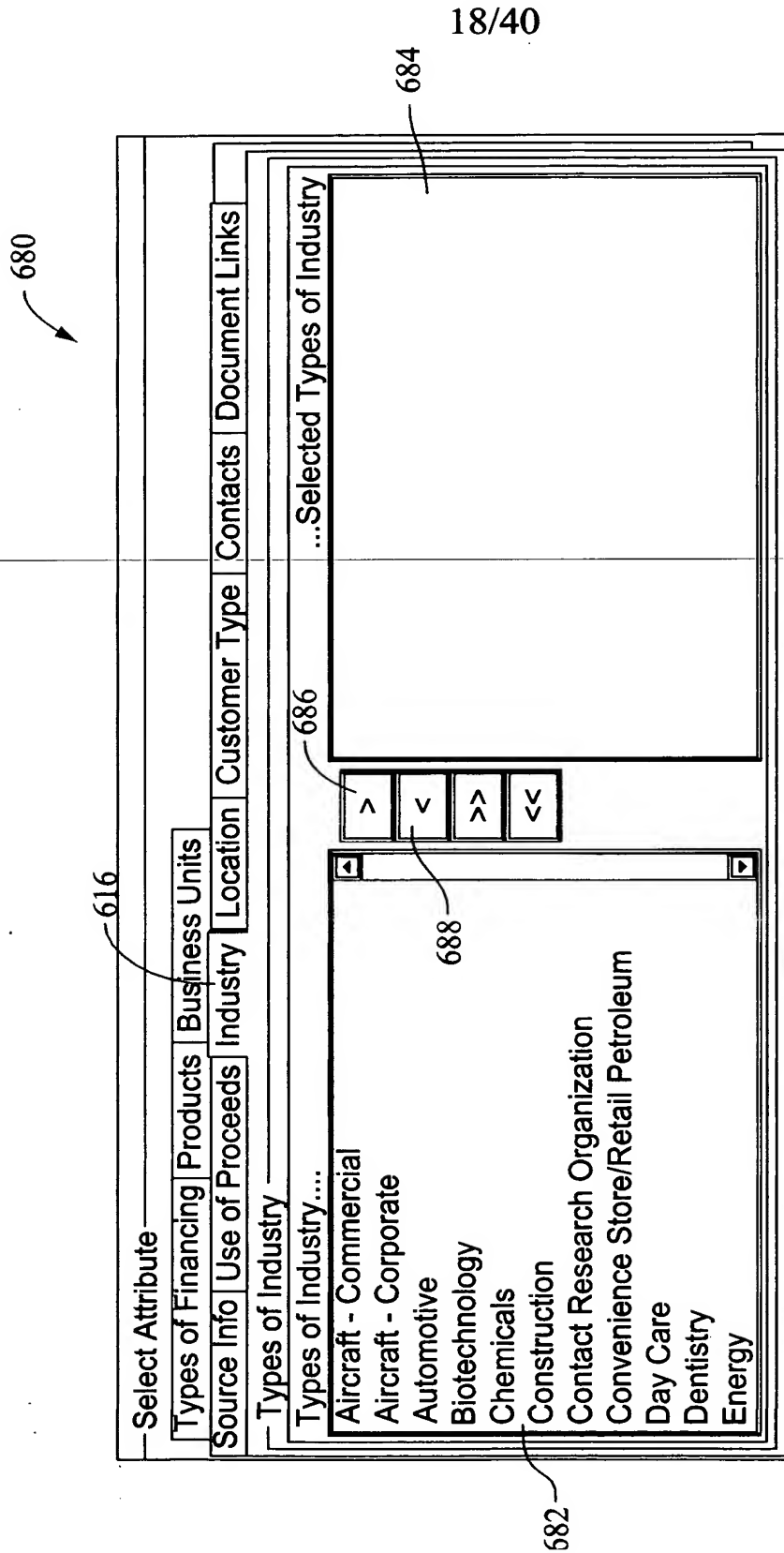


FIG. 18

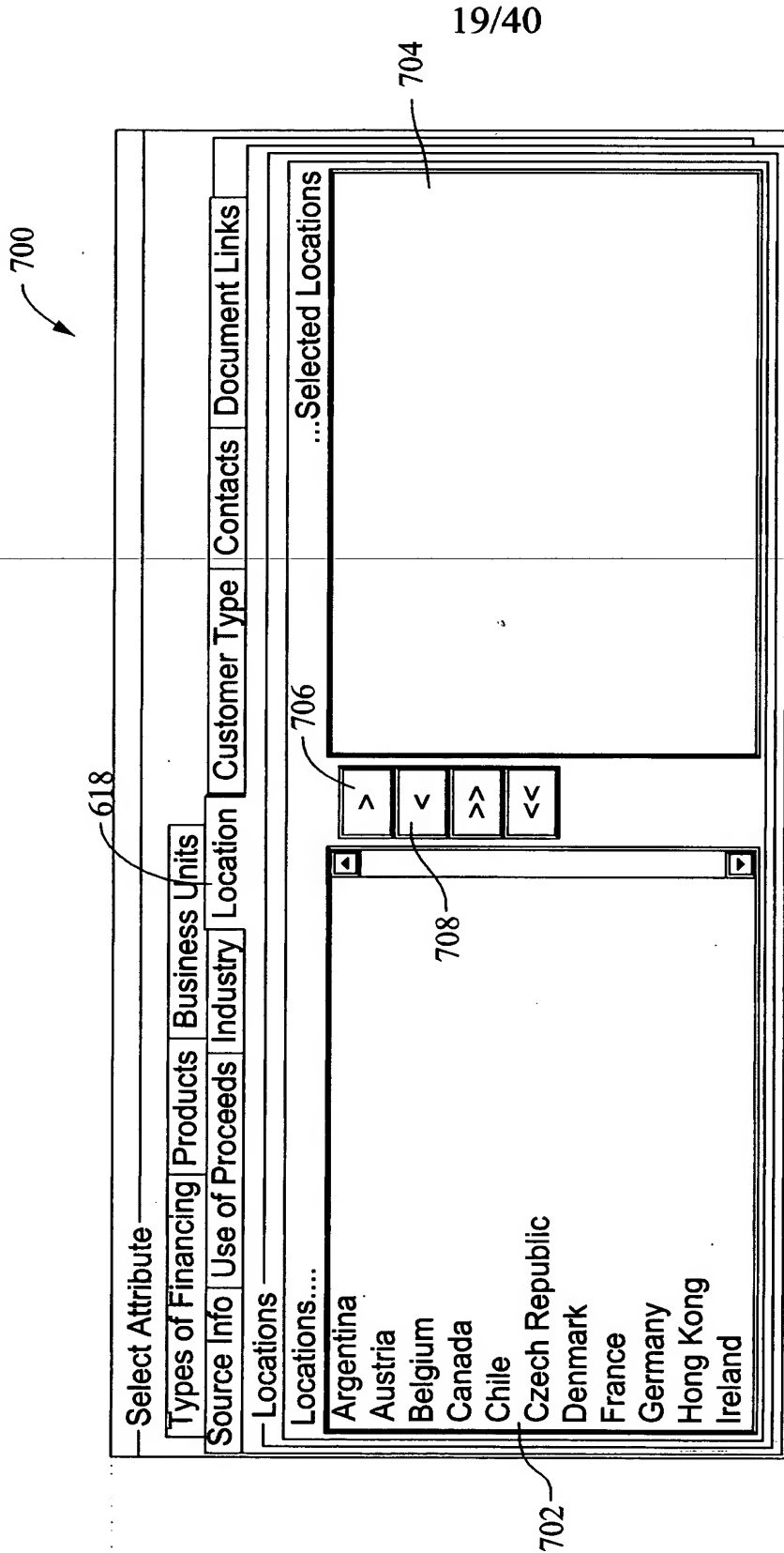


FIG. 19

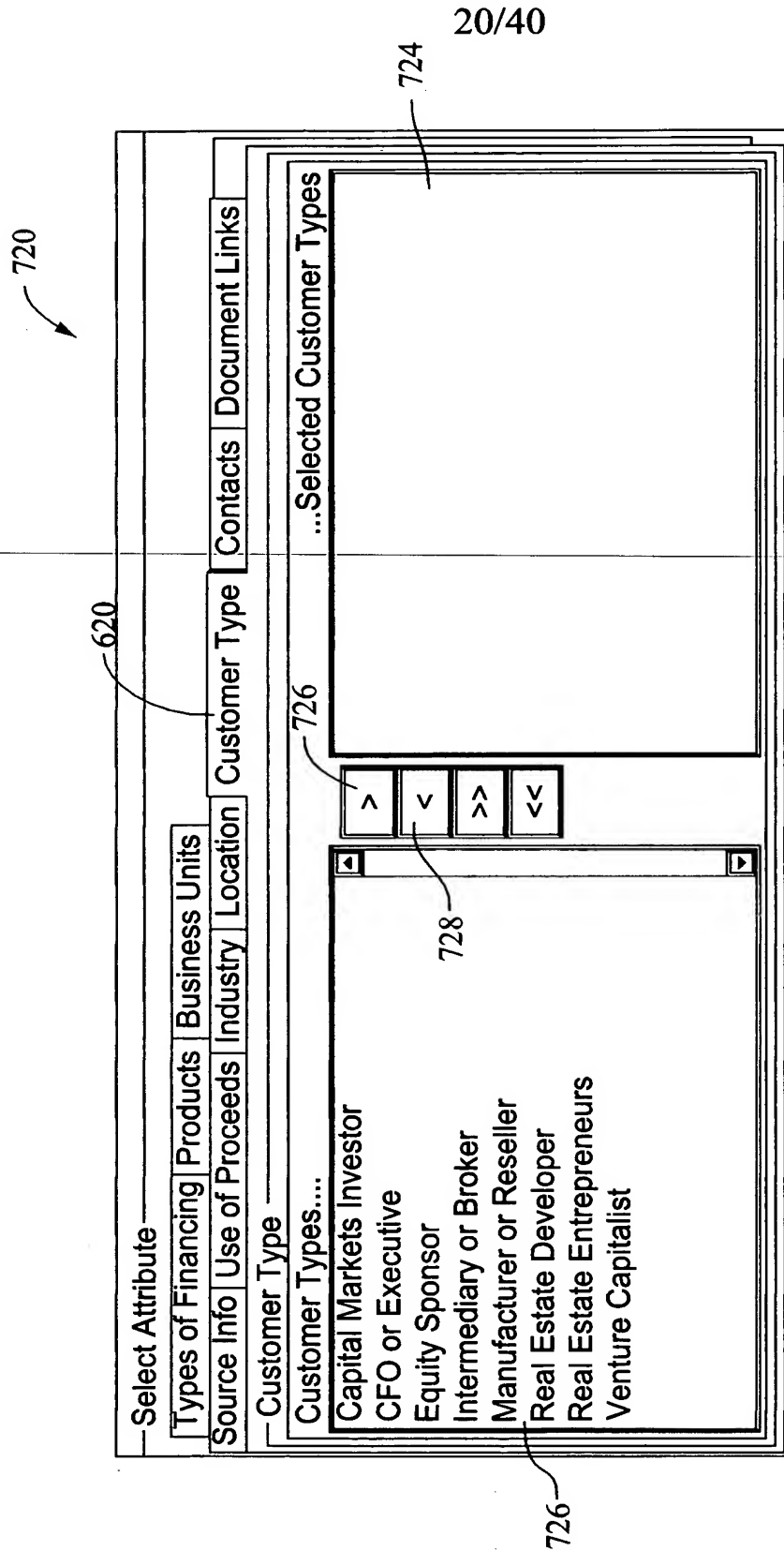


FIG. 20

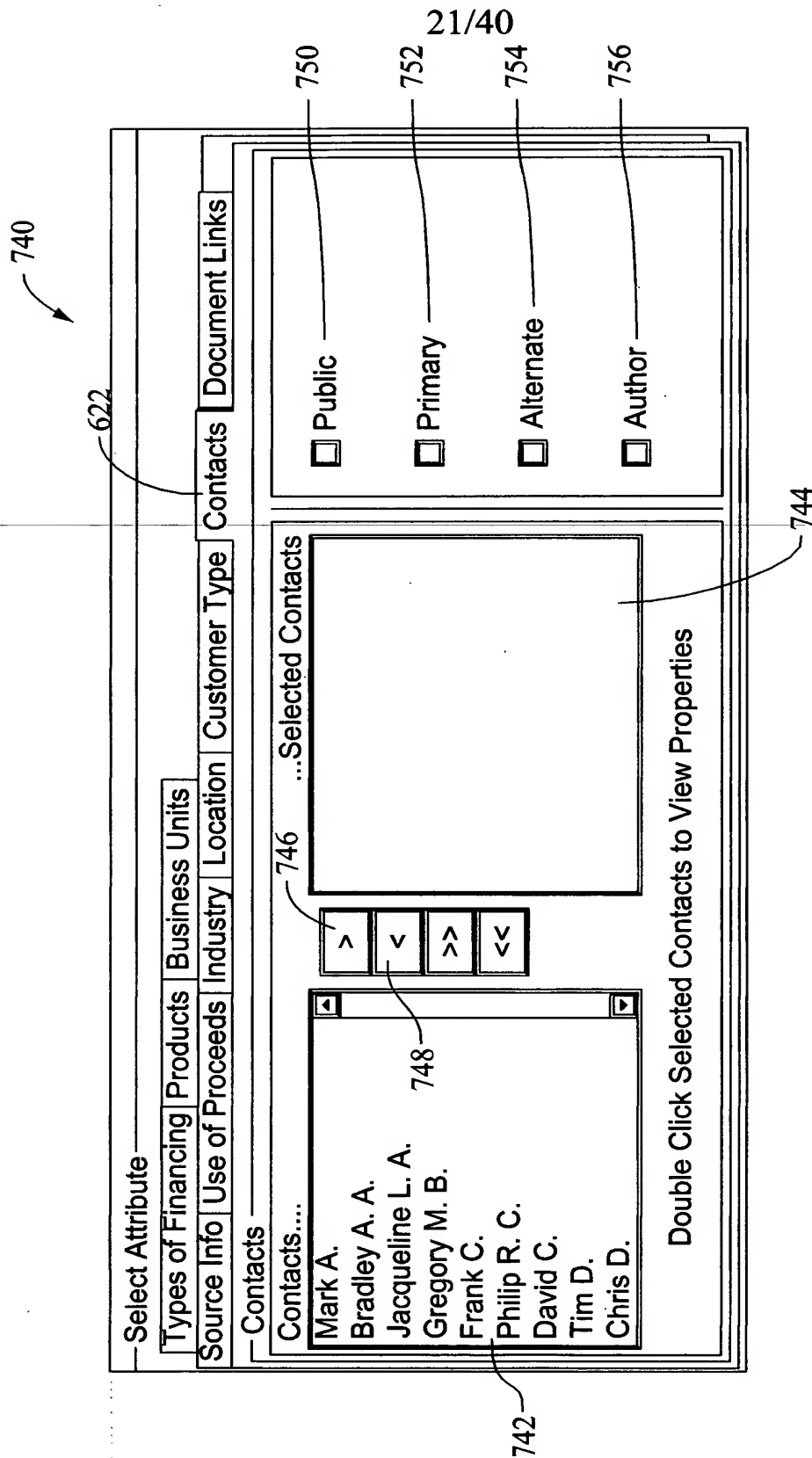


FIG. 21

Daniel M. Fitzgerald, Armstrong Teasdale LLP
One Metropolitan Square, St. Louis, MO 63102 (314) 621-5070

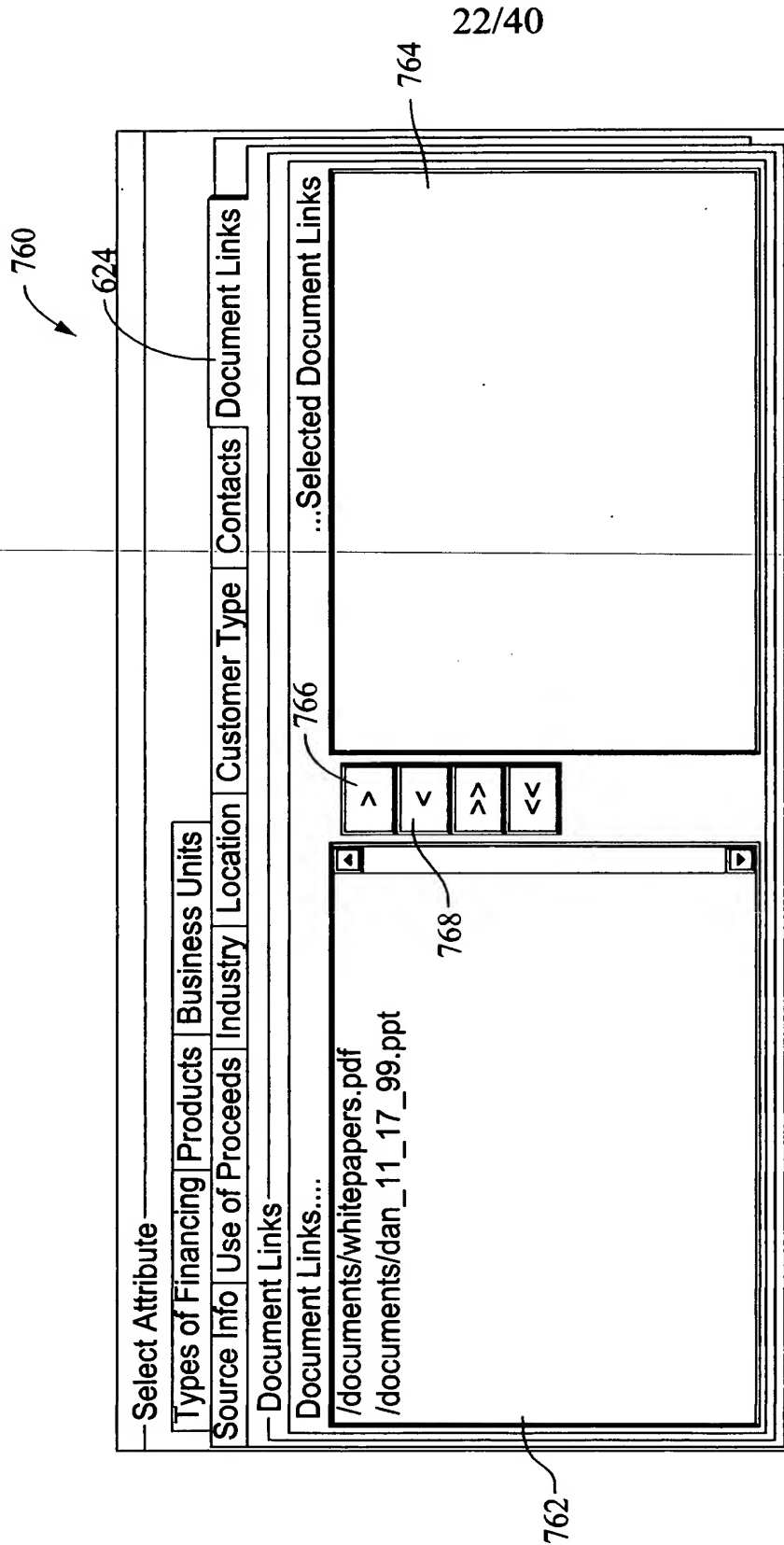


FIG. 22

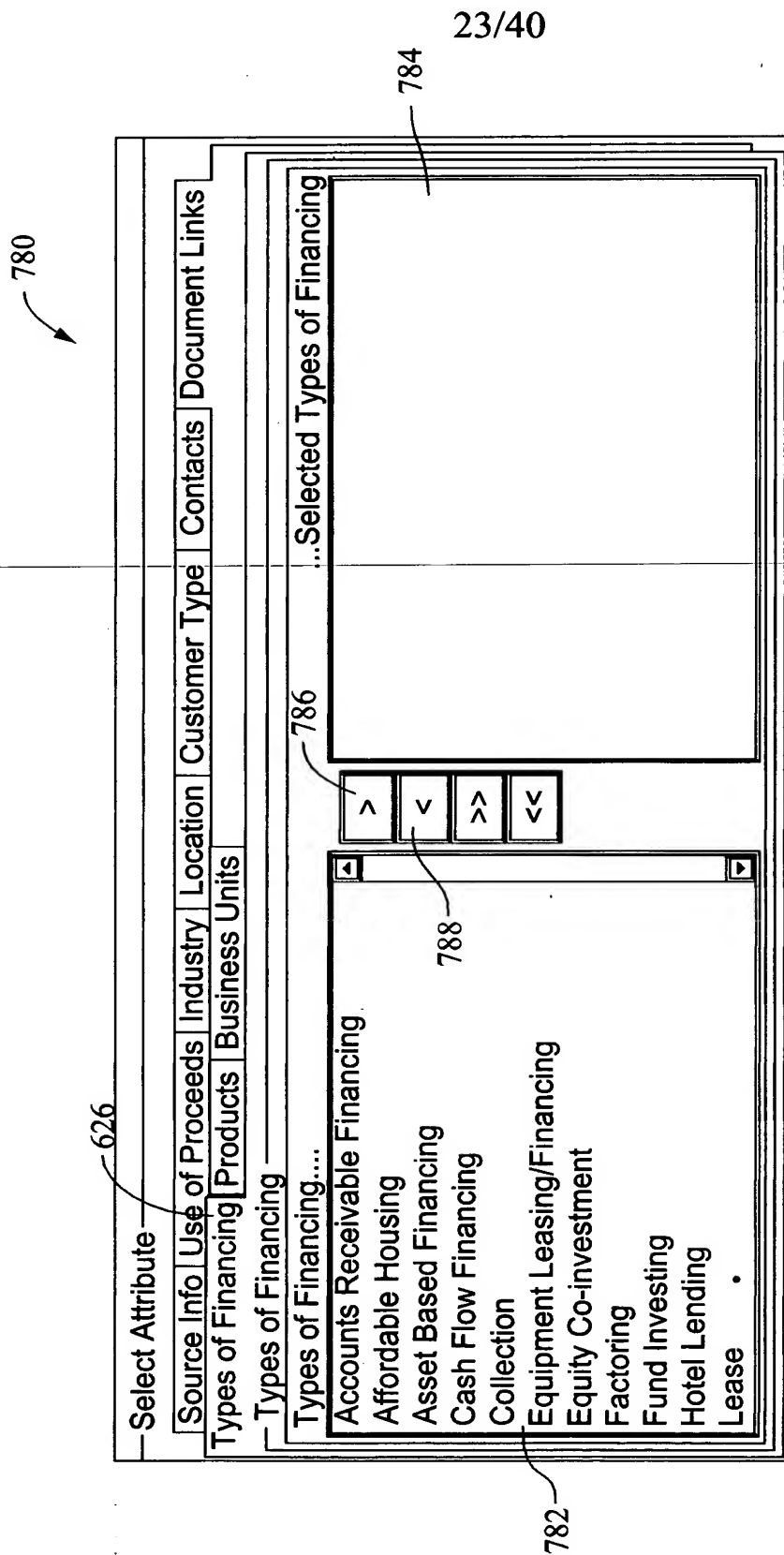


FIG. 23

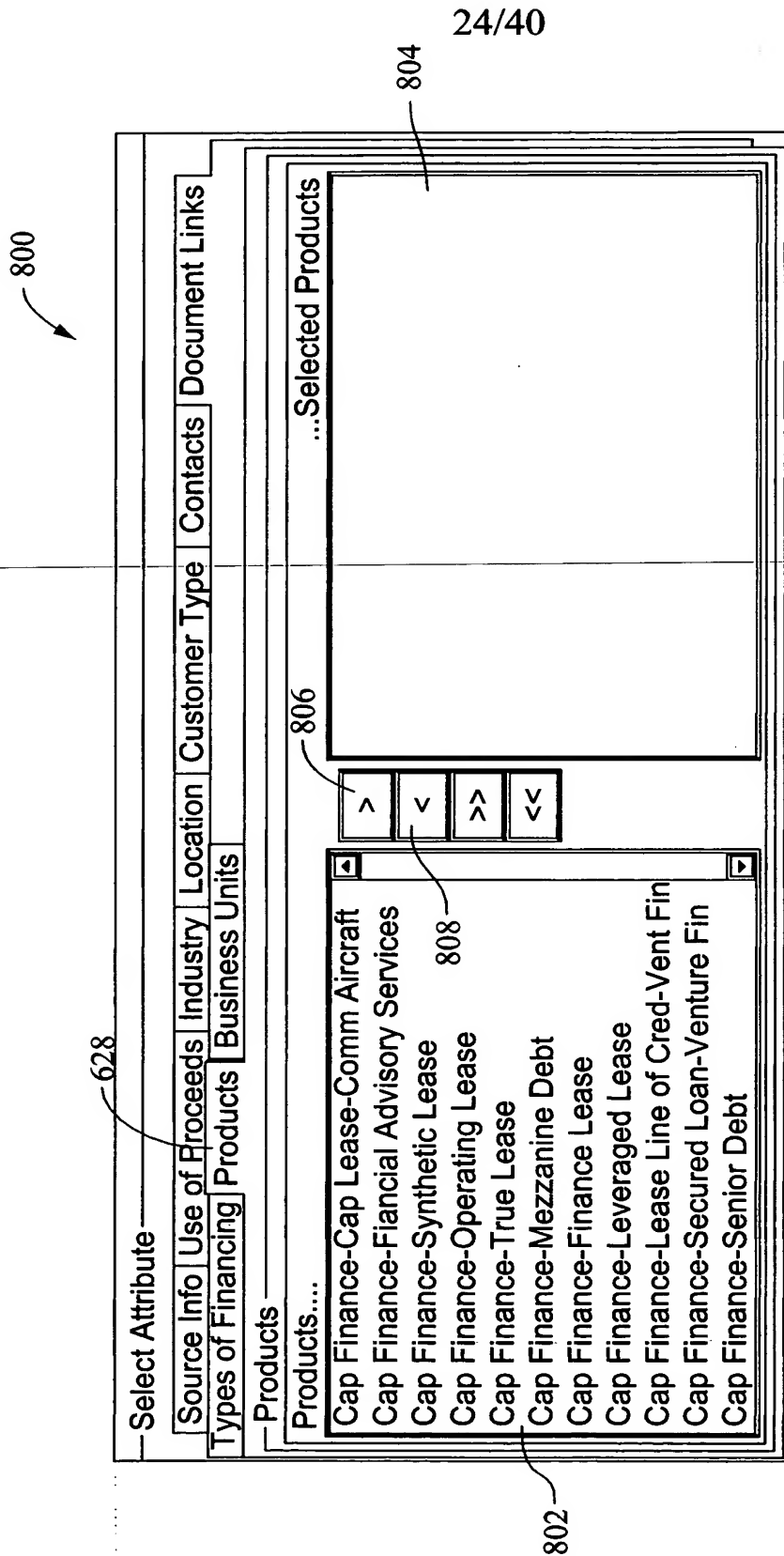


FIG. 24

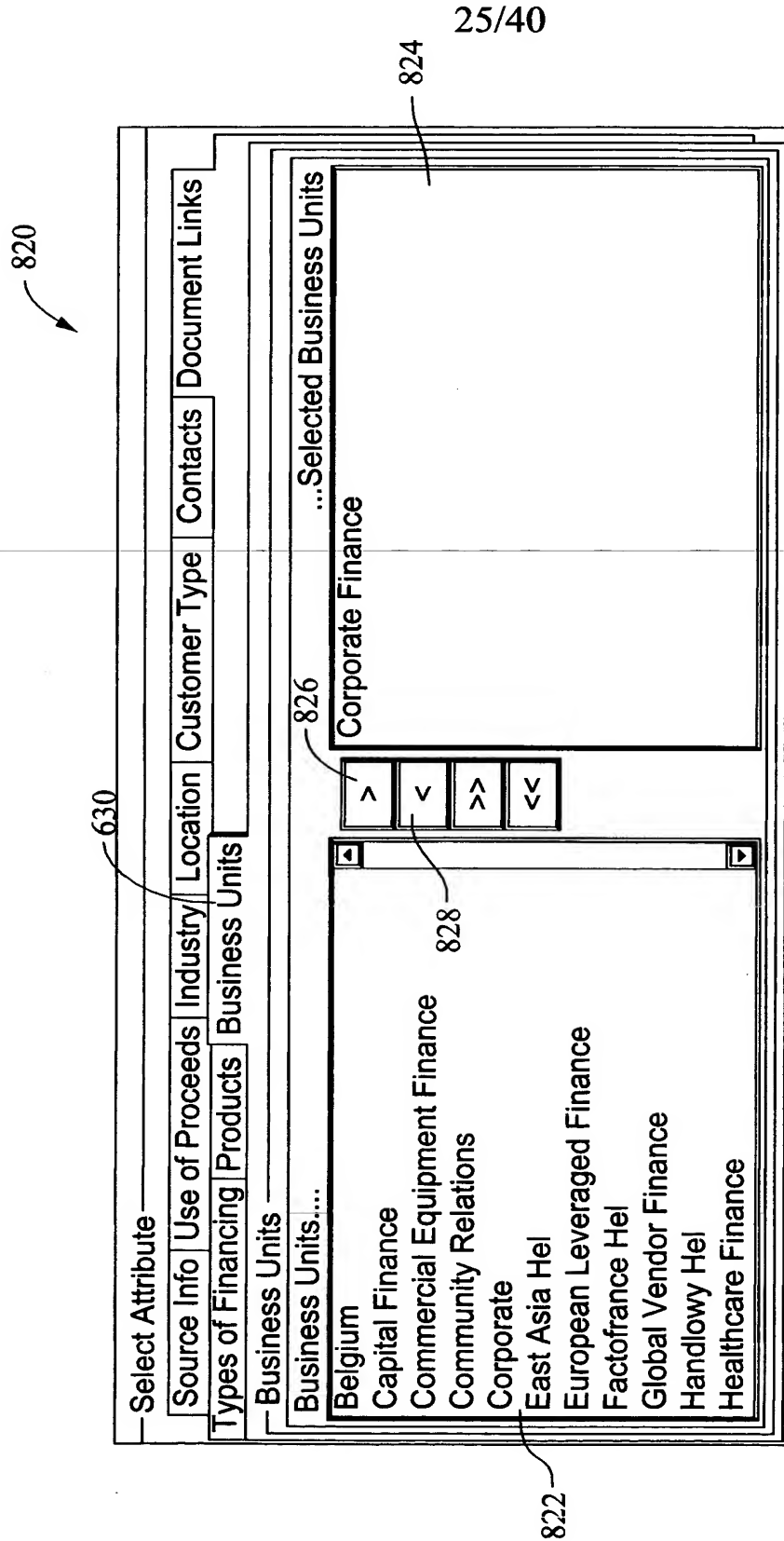


FIG. 25

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840

[Contacts - Corporate Finance]
Content Management Version 2.08

File

1. Select Operation: 2. Select Contact 844

Contact Name: 846

First Name: 848

Last Name: 854

Location/Office: 848

Title: 850

Corp Exec Category: ☐ Corp Exec Bio Display

Select Attribute

Address / Phone Location 856

Address / Phone

Address Line 1: 852

Address Line 2:

Address Line 3:

Phone: Fax:

Email:

City: State/Province: Zip Code:

858

User GUEST Connection Production [CHINID4] Monday December 10, 2001, 09:56:50 am

FIG. 26

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Select Attribute	
Address / Phone	Biographies Location
Address / Phone	
Address Line 1:	Phone: 1-866-2HELLER
Address Line 2:	Fax:
Address Line 3:	Email: nn@helle.com
City:	State/Province:
	Zip Code:

FIG. 27

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880

884

888

890

854

Select Attribute

Address / Phone Biographies Location

Biographies

Biography

882

Marcia heads Hel Corporate Finance's Technology and Media & Communications Specialty Teams. Marcia has fifteen years experience in middle market leveraged finance, including originations, underwriting, portfolio management and

Photograph

Small Photo Preview Large Photo

bio_amerger.gif
bio_bballent.gif
bio_bjenkins.gif
bio_bwestwood.gif
bio_candreen.gif

bio_amerger.gif
bio_bballent.gif
bio_bjenkins.gif
bio_bwestwood.gif
bio_candreen.gif

Small Photo: /images/bio_sm_mperk.gif

Large Photo: /images/bio_mperk.gif

886

FIG. 28

FIG. 29

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920

File

[Expertise - Corporate Finance]

Content Management Version 2.08

Corporate Finance Expertise Entry

Content:

Required Entries

Optional Entries

Refresh/Edit:

We Offer Solutions

Hel Corporate Finance works closely with you to structure smart and innovative financing solutions from cash flow and asset-based financing, mezzanine debt, junior secured debt, equity co-

Expertise List Entries

1. Select Operation:

2. Select Title from List:

Content List Title:

Refresh/Edit:

Content List Sort Order

In addition to its fund investment program, Hel Corporate Finance actively pursues equity investments as a passive partner aligned with the equity sponsor controlling the transaction:

Co-investments - \$2 million to \$10 million as a minority investor in transactions where

User GUEST Connection Production [CHINID4]

Monday December 10, 2001, 09:56:50 am

FIG. 30

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[WorldWide Presense - Corporate Finance]

Content Management Version 2.08

Corporate Finance WorldWide Presense Entry

File

1. Select Operation: Content:

Required Entries Optional Entries

Refresh/Edit:

944

942

Chicago Hel Financial, Inc. 500 West Monroe Street Chicago, IL 60661 1-866-2Hel	London Hel Financial, Ltd. European Leverage Finance 33 Lombard Street 3rd Floor London EC3V 9BQ 011 44 20 7909
Atlanta Hel Financial, Inc. 2018 Powers Ferry Road Suite 500 Atlanta, GA 30339 1-866-2Hel	New York Hel Financial, Inc. 622 Third Avenue 32nd Floor New York, New York 10017 1-866-2Hel
Boston Hel Financial, Inc. 185 Devonshire Street Suite 1075 Boston, MA 02110	Philadelphia Hel Corporate Finance (PA) Inc. Two Penn Center Plaza

Return Reset

User GUEST Connection Production [CHINID4] Monday December 10, 2001, 09:56:50 am

FIG. 31

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[Net Links - Corporate Finance]

Content Management Version 2.08

File

Corporate Finance Net Links Entry

1. Select Operation: [Update] 2. Select Title: [Ablum Brown] Required Entries Optional Entries

Content Title: [Ablum Brown]

Net Link: [http://www.toma.com]

962

[Return] [Reset] [Update]

User GUEST Connection Production [CHINID4] Monday December 10, 2001, 09:56:50 am

FIG. 32

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980

982

988

986

984

990

[FAQ - Real Estate Finance]

Content Management Version 2.08

Real Estate Finance FAQ Entry

1. Select Operation: [Update] 2. Select Title: [What types of properties do you serve?] Required Entries Optional Entries

Content Title: [What types of properties do you serve?] Refresh/Edit: [W]

We serve multi-family, multi-tenant office, multi-tenant industrial, grocery or drug-anchored retail; credit tenant retail; self-storage facilities; and manufactured housing communities. We also have financing experts dedicated to affordable housing, vacation ownership, full-service hotels and golf course lending.

[Return] [Reset] [Update]

User GUEST Connection Production [CHINID4] Monday December 10, 2001, 09:56:50 am

FIG. 33

FIG. 34

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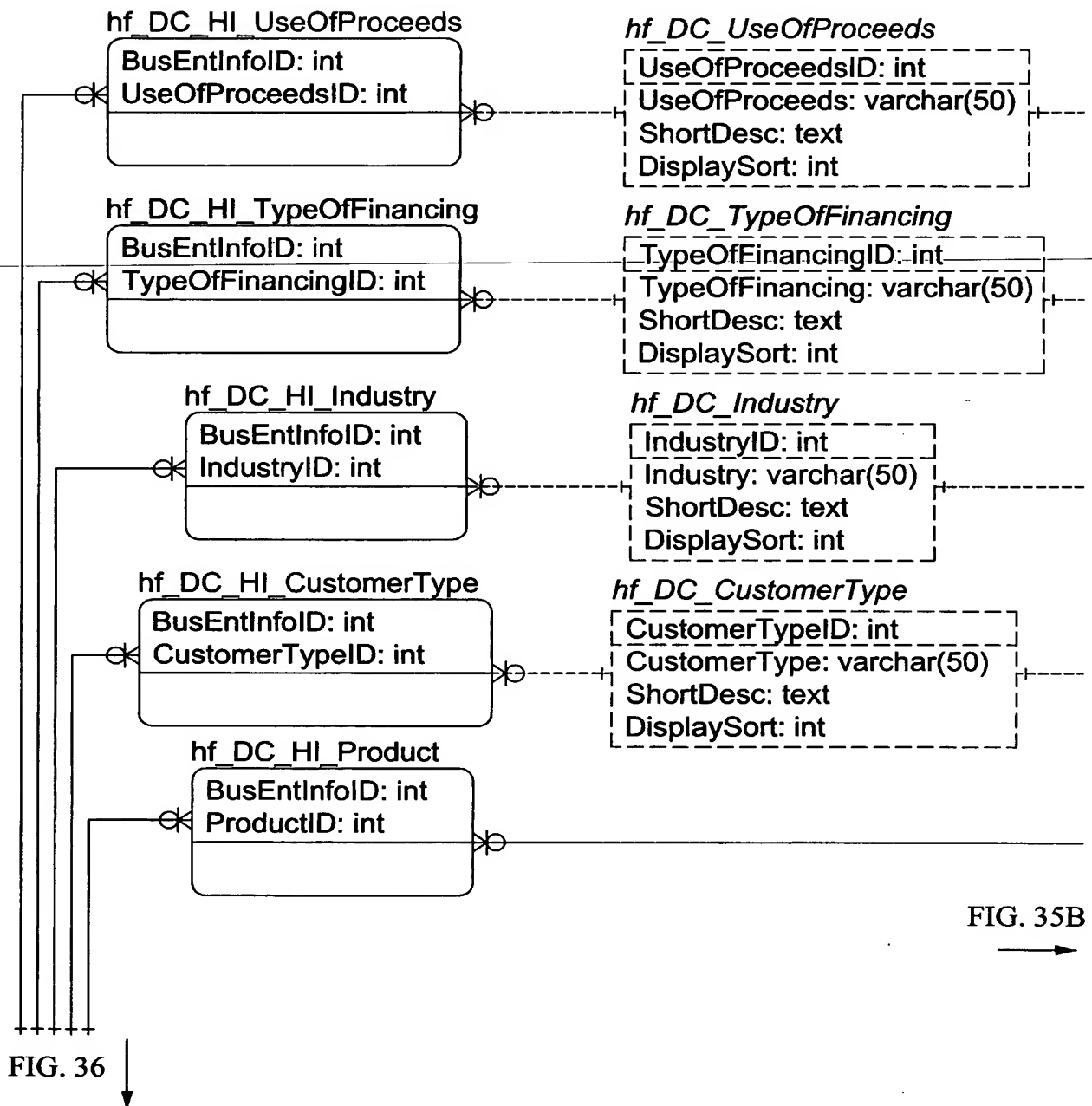


FIG. 35A

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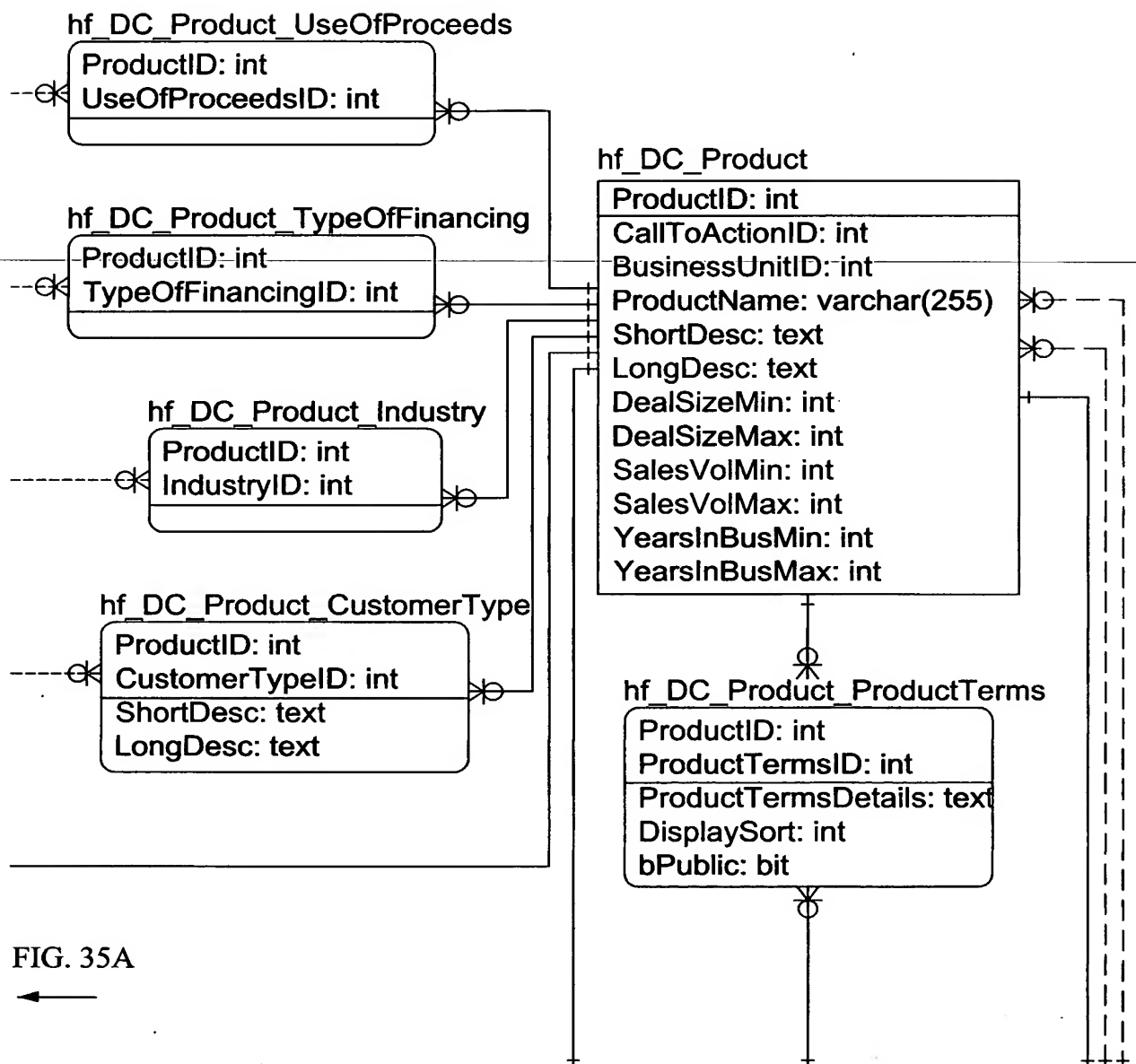
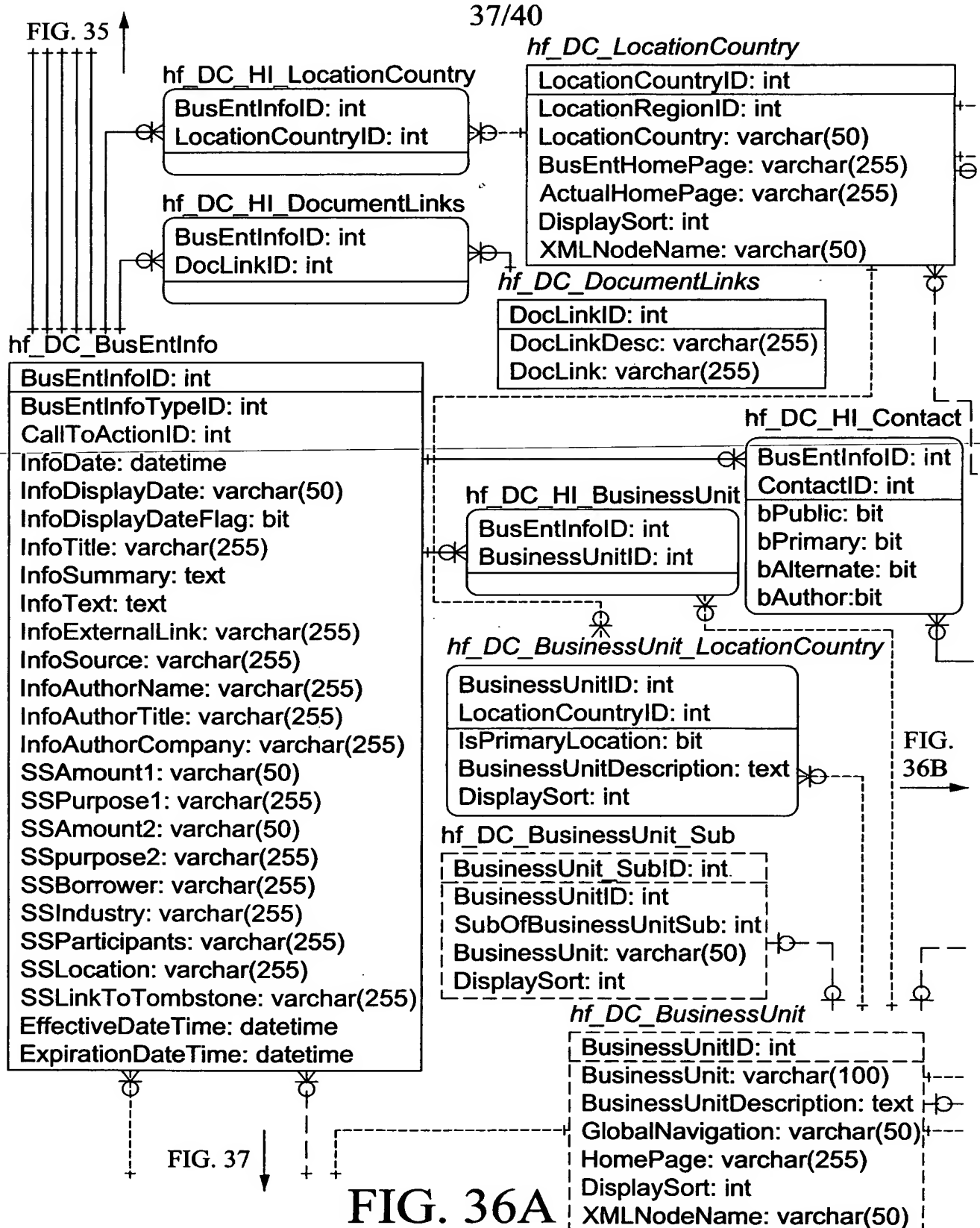
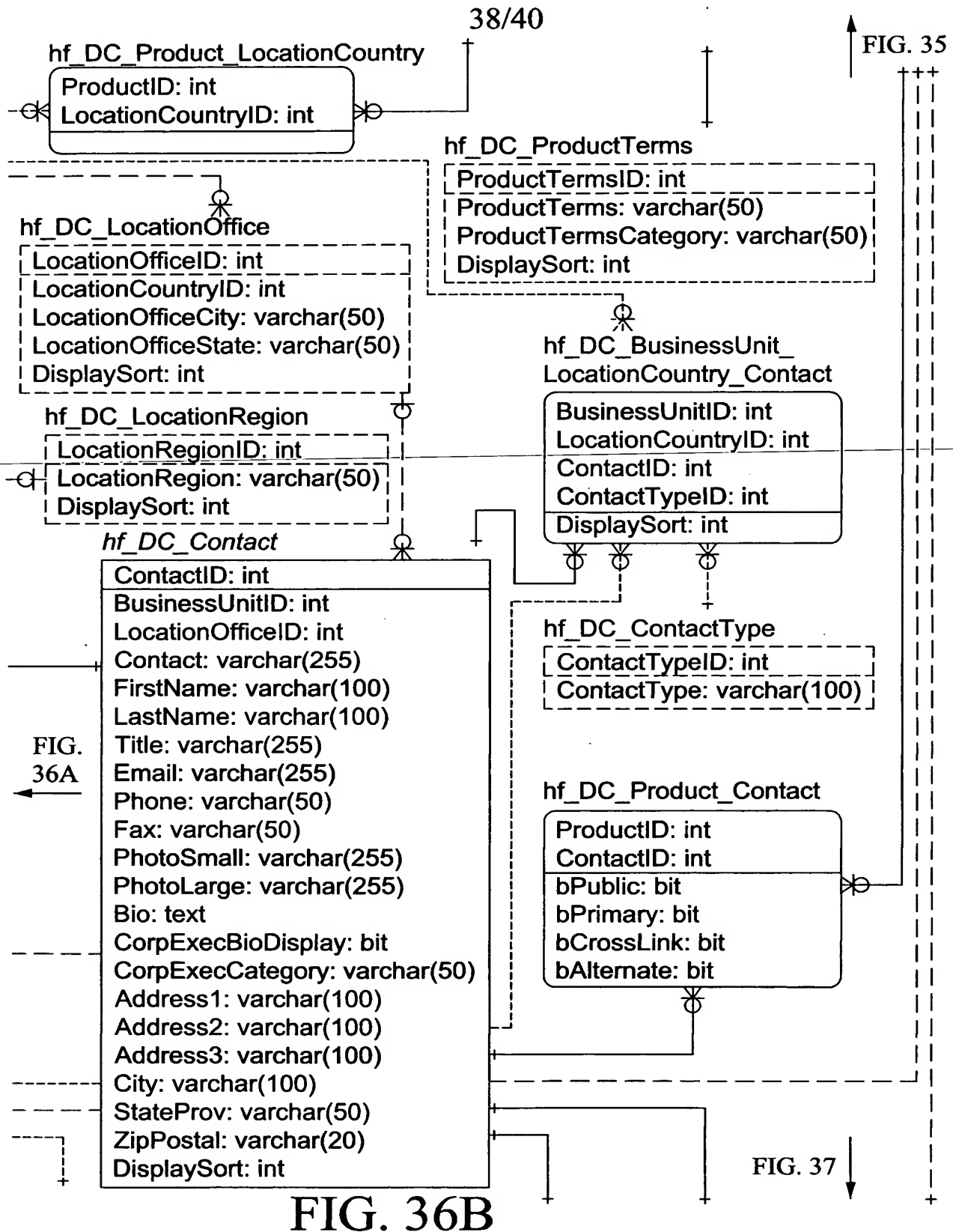


FIG. 35B

FIG. 36





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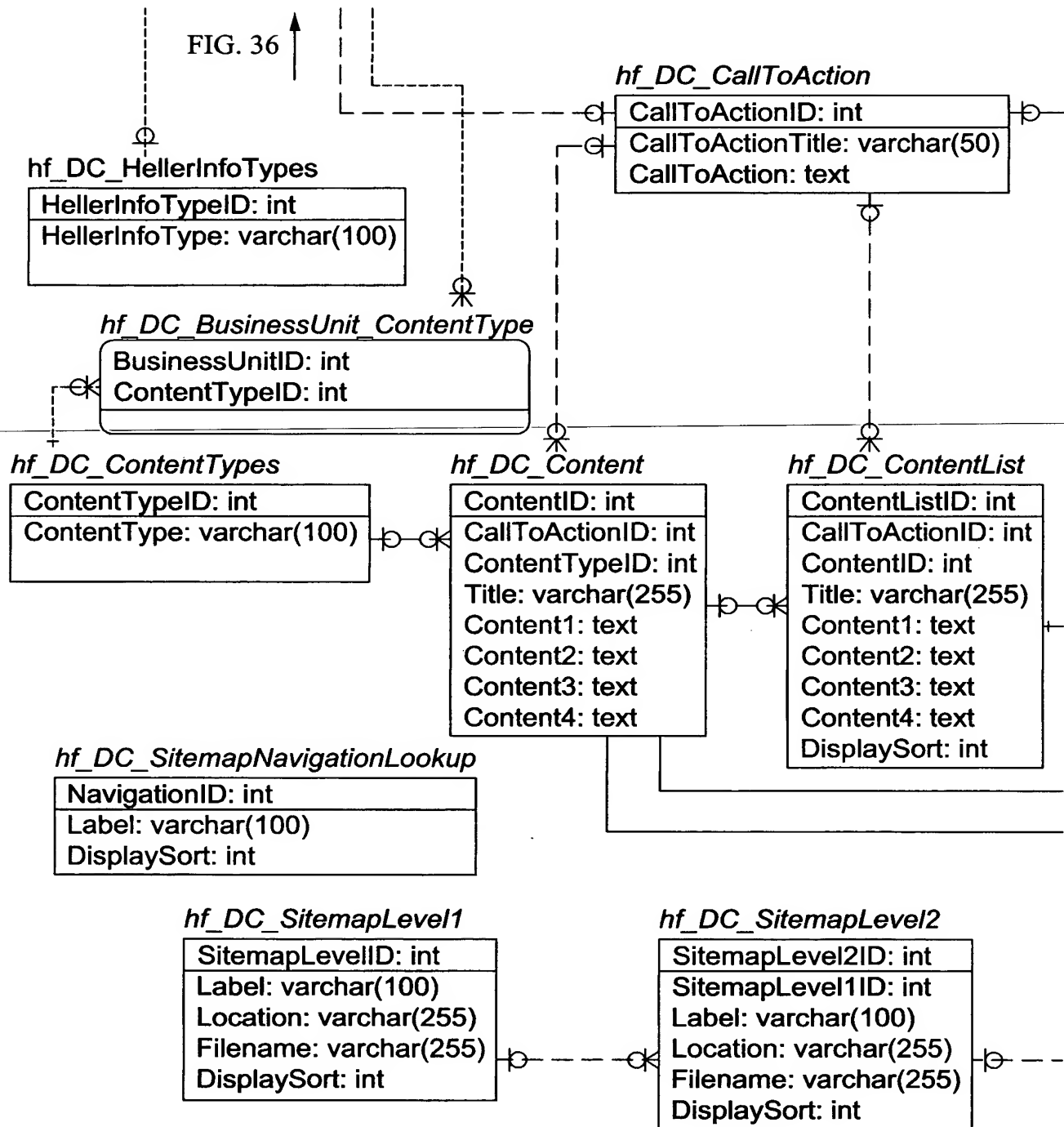


FIG. 37B

FIG. 37A

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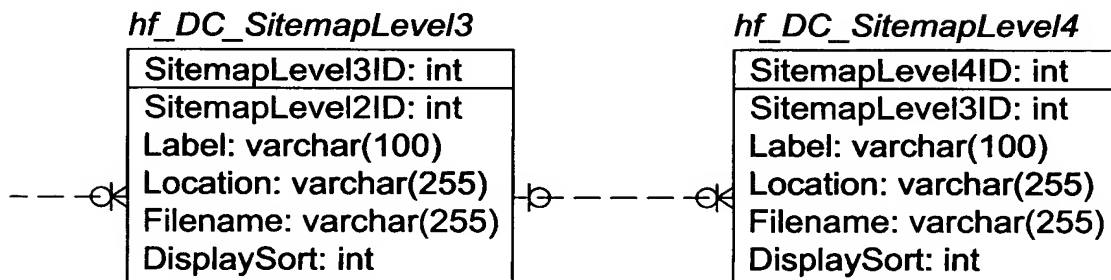
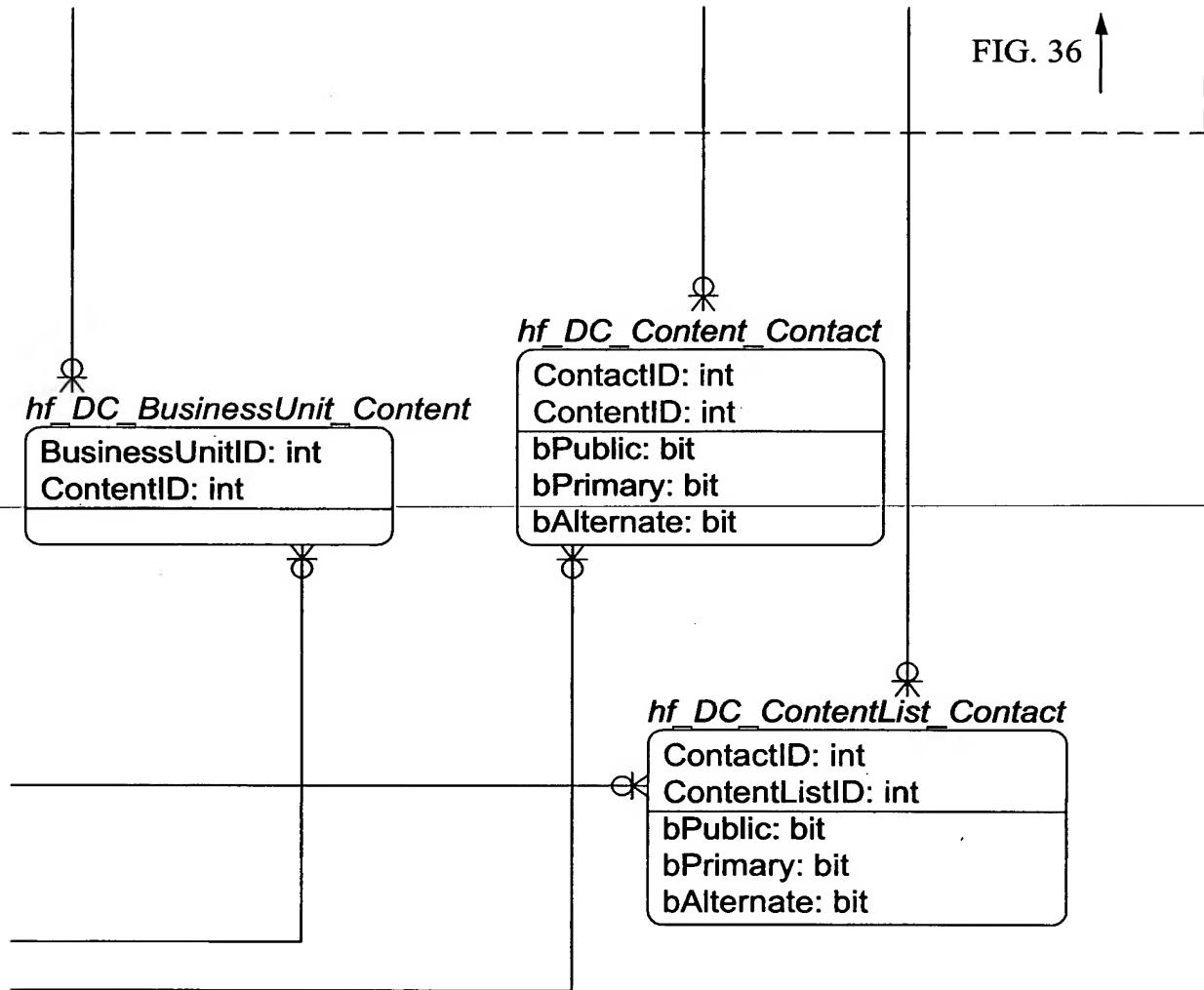


FIG. 37A

FIG. 37B